

Maximum Twist Width of Delta-Matroids

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Definition

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Given ribbon graph G and $A \subseteq E(G)$, the partial dual of G with respect to A , denoted G^A , is the ribbon graph obtained by gluing disks to each boundary component of the spanning ribbon subgraph $(V(G), A)$, where these are the vertices of G^A , removing the vertices of G , and keeping edges unchanged.

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Partial duals can also be thought of using arrows or by a table.

Definition

A *delta-matroid* is a proper set system $D = (E, \mathcal{F})$ which satisfies the symmetric exchange axiom:

For any $X, Y \in \mathcal{F}$ and any $u \in X \Delta Y$, there exists a $v \in X \Delta Y$ s.t. $X \Delta \{u, v\} \in \mathcal{F}$.

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Definition

For set system $D = (E, \mathcal{F})$ and $A \subseteq E$, the *twist* of D with respect to A , denoted $D \Delta A$, is the set system $(E, \mathcal{F} \Delta A)$ where

$$\mathcal{F} \Delta A := \{X \Delta A : X \in \mathcal{F}\}.$$

Definition

Given a ribbon graph $G = (V(G), E(G))$, define

$$\mathcal{F}(G) := \{F \subseteq E(G) : F \text{ is the edge set of a spanning quasi-tree of } G\}.$$

Then define the *delta-matroid* of G as $D(G) := (E(G), \mathcal{F}(G))$

Recall that partial duals and twists are closely related, as

$$D(G^A) = D(G) \Delta A.$$

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And More Definitions

Definition

Given a set system $D = (E, \mathcal{F})$, denote by $\mathcal{F}_{\max}(D)$ and $\mathcal{F}_{\min}(D)$ the collections of feasible sets of maximum and minimum cardinality, respectively. Denote by $r_{\max}(D)$ and $r_{\min}(D)$ the cardinalities of a maximum and minimum feasible set, respectively.

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$$\partial\omega_M(D) = \max\{\omega(D\Delta A) \mid A \subseteq E\}.$$

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Maximum Twist Width

Our goal is to prove the following theorem:

Theorem

For any delta-matroid $D = (E, \mathcal{F})$, there is a sequence of elements $e_1, e_2, \dots, e_k$ such that

$$\omega(D\Delta\{e_1, e_2, \dots, e_k\}) = \partial\omega_M(D)$$

and such that the sequence

$$\omega(D), \omega(D\Delta\{e_1\}), \dots, \omega(D\Delta\{e_1, e_2, \dots, e_k\})$$

risers monotonically (never decreasing) to $\partial\omega_M(D)$.

Connection to Euler Genus

Recall that the Euler genus of a ribbon graph G , $\gamma(G)$, satisfies $\gamma(G) = \omega(D(G))$. Define $\partial\gamma_M(G) := \max\{\gamma(G^A) \mid A \subseteq E(G)\}$. Then as a corollary, we will get the following:

Connection to Euler Genus

Recall that the Euler genus of a ribbon graph G , $\gamma(G)$, satisfies $\gamma(G) = \omega(D(G))$. Define $\partial\gamma_M(G) := \max\{\gamma(G^A) \mid A \subseteq E(G)\}$. Then as a corollary, we will get the following:

Corollary

For any ribbon graph G , there is a sequence of edges $e_1, e_2, \dots, e_k$ such that

$$\gamma(G^{\{e_1, e_2, \dots, e_k\}}) = \partial\gamma_M(G)$$

and such that the sequence

$$\gamma(G), \gamma(G^{\{e_1\}}), \dots, \gamma(G^{\{e_1, e_2, \dots, e_k\}})$$

risers monotonically (never decreasing) to $\partial\gamma_M(G)$.

A Property of the Maximum Twist Width

Theorem

For any proper set system $D = (E, \mathcal{F})$, there exists a feasible set $F \in \mathcal{F}$ such that

$$\omega(D \Delta F) = \partial \omega_M(D).$$

A Property of the Maximum Twist Width

Theorem

For any proper set system $D = (E, \mathcal{F})$, there exists a feasible set $F \in \mathcal{F}$ such that

$$\omega(D\Delta F) = \partial\omega_M(D).$$

Proof. It is sufficient to show that for all $A \subseteq E$, there is some $F \in \mathcal{F}$ such that $\omega(D\Delta F) \geq \omega(D\Delta A)$. If $A \in \mathcal{F}$, taking $F = A$ completes the proof, so assume that $A \notin \mathcal{F}$.

Choose an $F \in \mathcal{F}$ that minimizes $|A\Delta F|$. Note that $A\Delta F \neq \emptyset$. For each $f \in F\Delta A$,

$$\begin{aligned} r_{\min}(D\Delta\{A\Delta f\}) &= r_{\min}((D\Delta A)\Delta f) = |\{F\Delta A\}\Delta f| \\ &= |F\Delta A| - 1 = r_{\min}(D\Delta A) - 1 \end{aligned}$$

and

$$r_{\max}(D\Delta\{A\Delta f\}) \geq r_{\max}(D\Delta A) - 1.$$

A Property of the Maximum Twist Width cont'd

Therefore, $\omega(D\Delta\{A\Delta f\}) \geq \omega(D\Delta A)$. Repeating this process for all elements in $F\Delta A$ yields

$$\omega(D\Delta F) = \omega(D\Delta A\Delta\{A\Delta F\}) \geq \omega(D\Delta A).$$

And so we are done.

A Property of the Maximum Twist Width cont'd

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$$\omega(D\Delta F) = \omega(D\Delta A\Delta\{A\Delta F\}) \geq \omega(D\Delta A).$$

And so we are done. As a corollary for ribbon graphs, we get

Corollary

For any ribbon graph G , there exists a spanning quasi-tree A of G such that

$$\gamma(G^A) = \partial\gamma_M(G).$$

Partial-Duality Deficiency

Given ribbon graph G and $A \subseteq E(G)$, the following formula holds:

$$\gamma(G^A) = 2 + e(G) - f(A) - f(A^c).$$

When A is the edge set of a spanning quasi-tree, we have $f(A) = 1$ and so

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So, $\gamma(G^A)$ attains its maximum if and only if $f(A^c)$ attains its minimum.

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So, $\gamma(G^A)$ attains its maximum if and only if $f(A^c)$ attains its minimum.

Proposition

Let G be a connected ribbon graph and let $\mathcal{T}$ denote the set of spanning quasi-trees of G . Then

$$\partial\gamma_M(G) = 1 + e(G) - \min_{A \in \mathcal{T}} f(A^c).$$

Partial-Duality Deficiency cont'd

The invariant $\min_{A \in \mathcal{T}} f(A^c)$ is called the *partial-duality deficiency* of G , denoted $\partial\xi(G)$.

Partial-Duality Deficiency cont'd

The invariant $\min_{A \in \mathcal{T}} f(A^c)$ is called the *partial-duality deficiency* of G , denoted $\partial\xi(G)$. Given a set system $D = (E, \mathcal{F})$, we get that

$$\partial\omega_M(D) = \max\{|F_1 \Delta F_2| \mid F_1, F_2 \in \mathcal{F}\}.$$

Combining this with the previous proposition, we get

Proposition

Let G be a connected ribbon graph and $D(G) = (E(G), \mathcal{F}(G))$ be its delta-matroid. Then

$$\partial\xi(G) = e(G) + 1 - \max\{|F_1 \Delta F_2| \mid F_1, F_2 \in \mathcal{F}(G)\}.$$

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Main Theorem

It's time to prove our main theorem. But first, a helpful lemma:

Lemma

For any delta-matroid $D = (E, \mathcal{F})$ and any $F_0 \in \mathcal{F}$, there exist $F_1 \in \mathcal{F}_{\min}(D)$ and $F_2 \in \mathcal{F}_{\max}(D)$ such that $F_1 \subseteq F_0 \subseteq F_2$.

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Here is what we will prove now (slightly stronger than previously stated):

Theorem

For any delta-matroid $D = (E, \mathcal{F})$, there exists a sequence of elements $e_1, e_2, \dots, e_k$ in E such that $\{e_1, e_2, \dots, e_k\} \in \mathcal{F}$, $\omega(D \Delta \{e_1, e_2, \dots, e_k\}) = \partial \omega_M(D)$, and the sequence of widths

$$\omega(D), \omega(D \Delta e_1), \dots, \omega(D \Delta \{e_1, e_2, \dots, e_k\})$$

increases monotonically to $\partial \omega_M(D)$.

Proof of Main Theorem

Let $\hat{\mathcal{F}}$ be the set of feasible sets $A \in \mathcal{F}$ such that $\omega(D\Delta A) = \partial\omega_M(D)$.
By our previous theorem, $\hat{\mathcal{F}}$ is nonempty.

Proof of Main Theorem

Let $\hat{\mathcal{F}}$ be the set of feasible sets $A \in \mathcal{F}$ such that $\omega(D\Delta A) = \partial\omega_M(D)$. By our previous theorem, $\hat{\mathcal{F}}$ is nonempty. Let F be a feasible set of minimum cardinality in $\hat{\mathcal{F}}$. By this choice, F satisfies the conclusions of the theorem, except possibly for the fact that taking a sequence of widths increases monotonically. We will be done if we can show that a sequence rises monotonically for F .

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If $|F| = 0$, we are done. Assume that the conclusion holds when $|F| = k$. We will try to show that it holds then for $|F| = k + 1$, proceeding by induction. By our lemma, there exist $F_1 \in \mathcal{F}_{\min}(D)$ and $F_2 \in \mathcal{F}_{\max}(D)$ such that

$$F_1 \subseteq F \subseteq F_2.$$

Proof of Main Theorem: Case 1

Case 1. If $F_1 \neq \emptyset$, let $f \in F_1 \subseteq F$. Then

$$r_{\min}(D\Delta f) = |F_1\Delta f| = r_{\min}(D) - 1$$

and

$$r_{\max}(D\Delta f) \geq r_{\max}(D) - 1.$$

So, $\omega(D\Delta f) \geq \omega(D)$.

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So, $\omega(D\Delta f) \geq \omega(D)$.

Now, let $D' = D\Delta f$ and $\hat{\mathcal{F}}'$ be the set of feasible sets of D' such that $\omega(D'\Delta A) = \partial\omega_M(D')$ for every $A \in \hat{\mathcal{F}}'$. By definition, $\partial\omega_M(D') = \partial\omega_M(D)$. I claim that $F\Delta f$ is a minimum cardinality feasible set in $\hat{\mathcal{F}}'$.

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Now, let $D' = D\Delta f$ and $\hat{\mathcal{F}}'$ be the set of feasible sets of D' such that $\omega(D'\Delta A) = \partial\omega_M(D')$ for every $A \in \hat{\mathcal{F}}'$. By definition, $\partial\omega_M(D') = \partial\omega_M(D)$. I claim that $F\Delta f$ is a minimum cardinality feasible set in $\hat{\mathcal{F}}'$. Since $\omega(D\Delta F) = \partial\omega_M(D)$, there exists a feasible set X of D such that $|F\Delta X| = \partial\omega_M(D)$.

Proof of Main Theorem: Case 1 cont'd

Now,

$$|(F\Delta f)\Delta(X\Delta f)| = \partial\omega_M(D) = \partial\omega_M(D'),$$

where $X\Delta f$ is a feasible set of D' . So, $F\Delta f \in \hat{\mathcal{F}}'$.

Proof of Main Theorem: Case 1 cont'd

Now,

$$|(F\Delta f)\Delta(X\Delta f)| = \partial\omega_M(D) = \partial\omega_M(D'),$$

where $X\Delta f$ is a feasible set of D' . So, $F\Delta f \in \hat{\mathcal{F}}'$. We still need to show that $F\Delta f$ has minimum cardinality in $\hat{\mathcal{F}}'$. Assume for the sake of contradiction that there is a $T \in \hat{\mathcal{F}}'$ such that $|T| < |F\Delta f|$. Then $T\Delta f \in \hat{\mathcal{F}}$ and $|T\Delta f| < |F|$, which contradicts the fact that F has minimum cardinality in $\hat{\mathcal{F}}$.

Since $|F\Delta f| = k$, the conclusion follows by the inductive hypothesis.

Proof of Main Theorem: Case 2

Case 2. Assume $F_1 = \emptyset$.

Case 2.1. For some $F_3 \in \mathcal{F}_{\max}(D)$, $F \not\subseteq F_3$. So, there exists an $f \in F$ and $f \notin F_3$. Then

$$r_{\max}(D\Delta f) = |F_3\Delta f| = r_{\max}(D) + 1$$

and

$$r_{\min}(D\Delta f) \leq r_{\min}(D) + 1.$$

Proof of Main Theorem: Case 2

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and

$$r_{\min}(D\Delta f) \leq r_{\min}(D) + 1.$$

So, $\omega(D\Delta f) \geq \omega(D)$. Let $D' = D\Delta f$ and $\hat{\mathcal{F}}'$ be the set of feasible sets of D' such that $\omega(D'\Delta F) = \partial\omega_M(D')$ holds for every $F \in \hat{\mathcal{F}}'$. Then $F\Delta f$ is a feasible set of minimum cardinality in $\hat{\mathcal{F}}'$, with the proof being similar to that in Case 1. Since $|F\Delta f| = k$, the conclusion holds by the inductive hypothesis.

Proof of Main Theorem: Case 2 cont'd

Case 2.2. For any $A \in \mathcal{F}_{\max}(D)$, $F \subseteq A$. Since $\omega(D \Delta F) = \partial \omega_M(D)$, there exists a feasible set X with $|F \Delta X| = \partial \omega_M(D)$. By our lemma, there is some $F_3 \in \mathcal{F}_{\max}(D)$ such that $X \subseteq F_3$. Since $\emptyset = F_1 \in \mathcal{F}$, $\omega(D) = r_{\max} - 0 = |F_3|$, and since $F \neq \emptyset$, we know $\emptyset \notin \hat{\mathcal{F}}$.

Proof of Main Theorem: Case 2 cont'd

Case 2.2. For any $A \in \mathcal{F}_{\max}(D)$, $F \subseteq A$. Since $\omega(D \Delta F) = \partial \omega_M(D)$, there exists a feasible set X with $|F \Delta X| = \partial \omega_M(D)$. By our lemma, there is some $F_3 \in \mathcal{F}_{\max}(D)$ such that $X \subseteq F_3$. Since $\emptyset = F_1 \in \mathcal{F}$, $\omega(D) = r_{\max} - 0 = |F_3|$, and since $F \neq \emptyset$, we know $\emptyset \notin \hat{\mathcal{F}}$. Then

$$|F \Delta X| = \partial \omega_M(D) > \omega(D) = |F_3|.$$

Since $F_3 \in \mathcal{F}_{\max}(D)$, $F \subseteq F_3$. So, we have $F \cup X \subseteq F_3$, and also

$$|F_3| \geq |F \cup X| \geq |F \Delta X| > |F_3|,$$

which is impossible. The proof is complete.

A Remark

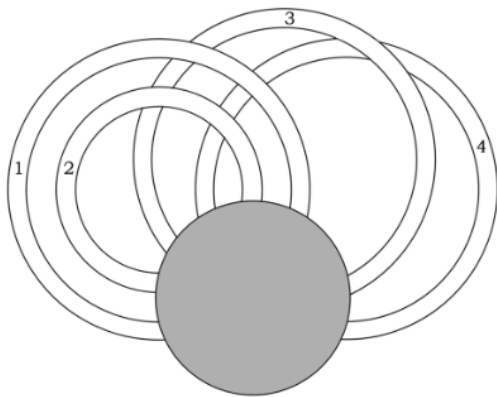
The fact that we use a delta-matroid does matter; the main theorem doesn't hold generally for set systems. For example, take $D = ([5], \{\emptyset, \{1, 2\}, \{3, 4, 5\}, \{1, 2, 3, 4\}\})$. It is clear that $\omega(D) = 4$, and it's not hard to check that only $D\Delta\{1, 2\}$ and $D\Delta\{3, 4, 5\}$ have the maximum twist width of D , that being 5. So, no sequence rises monotonically to $\partial\omega_M(D)$.

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Algorithm Example

The proof of the main theorem essentially provides an algorithm for constructing a sequence satisfying the monotonicity property in that theorem. We will apply this algorithm to a ribbon graph.



Algorithm Example cont'd

The delta-matroid of G is $D(G) = ([4], \mathcal{F})$, where $\mathcal{F} = \{\emptyset, \{1, 3\}, \{1, 4\}, \{2, 3\}, \{2, 4\}, \{3, 4\}\}$. It is clear that $\hat{\mathcal{F}} = \{\{1, 3\}, \{1, 4\}, \{2, 3\}, \{2, 4\}\}$. We can start the algorithm with $F = \{1, 3\}$ as a minimum cardinality element of $\hat{\mathcal{F}}$.

Algorithm Example cont'd

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First Iteration: Since $\emptyset \in \mathcal{F}$, select $X = \{2, 3\} \in \mathcal{F}_{\max}$.

Choose $1 \in F \setminus X$.

Set $S = [1]$, $F' = F \setminus \{1\} = \{3\}$,

$F' = \{\{1\}, \{3\}, \{4\}, \{1, 2, 3\}, \{1, 2, 4\}, \{1, 3, 4\}\}$.

Algorithm Example cont'd

The delta-matroid of G is $D(G) = ([4], \mathcal{F})$, where $\mathcal{F} = \{\emptyset, \{1, 3\}, \{1, 4\}, \{2, 3\}, \{2, 4\}, \{3, 4\}\}$. It is clear that $\hat{\mathcal{F}} = \{\{1, 3\}, \{1, 4\}, \{2, 3\}, \{2, 4\}\}$. We can start the algorithm with $F = \{1, 3\}$ as a minimum cardinality element of $\hat{\mathcal{F}}$.

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$\mathcal{F}' = \{\{1\}, \{3\}, \{4\}, \{1, 2, 3\}, \{1, 2, 4\}, \{1, 3, 4\}\}$.

Second Iteration: Since $\emptyset \notin \mathcal{F}'$, select $X = \{3\} \in \mathcal{F}'_{\min}$.

Choose $3 \in X \subseteq F'$.

Set $S = [1, 3]$, $F'' = F' \setminus \{3\} = \emptyset$,

$\mathcal{F}'' = \{\emptyset, \{1, 2\}, \{1, 3\}, \{1, 4\}, \{3, 4\}, \{1, 2, 3, 4\}\}$.

Algorithm Example cont'd

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First Iteration: Since $\emptyset \in \mathcal{F}$, select $X = \{2, 3\} \in \mathcal{F}_{\max}$.

Choose $1 \in F \setminus X$.

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Second Iteration: Since $\emptyset \notin \mathcal{F}'$, select $X = \{3\} \in \mathcal{F}'_{\min}$.

Choose $3 \in X \subseteq F'$.

Set $S = [1, 3]$, $F'' = F' \setminus \{3\} = \emptyset$,

$\mathcal{F}'' = \{\emptyset, \{1, 2\}, \{1, 3\}, \{1, 4\}, \{3, 4\}, \{1, 2, 3, 4\}\}$.

Termination: Since $F'' = \emptyset$, return $S = [1, 3]$.

X. Jin, Z. Li, Q. Yan, G. Zhang, On the maximum twist width of delta-matroids, Preprint arXiv:2602.01946v1 [math.CO]